

COMMUNITY PROGRAMS - DEMONSTRATION PROJECTS

Objective: Develops alternatives to incarceration which are acceptable to the community and the criminal justice system.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66603¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1982, Chapter 267, NY Laws of 2002, Chapter 54 and Section 243(2) of the Executive Law.

Regulation: NA

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Eligibility: Counties, City of New York and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any Federal requirements. However, other restrictions are listed in law and DPCA contracts.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	5,819,000	NA
SFY 03-04	NA	5,346,153	NA
SFY 04-05	NA	5,078,800	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	5,095,600	NA
SFY 03-04	NA	4,776,400	NA

¹Refers to local alternatives to incarceration and other programs.

INTENSIVE SUPERVISION PROGRAM

Objective: Provides a sentencing alternative to jail or prison bound probation eligible D and E class felons and certain misdemeanants.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66602

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1996, Chapter 54, NY Laws of 2002.

Regulation: NY Division of Probation and Correctional Alternatives Rules and Regulations

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Eligibility: Counties, City of New York and Local Probation Offices.

Type of Program and Special Restrictions: Optional, without any Federal requirements. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in Division Rules and Regulations, Part 351, DPCA's operating manual/standards and DPCA contractual agreement.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	7,425,000	NA
SFY 03-04	NA	6,311,254	NA
SFY 04-05	NA	5,995,700	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	8,075,200	NA
SFY 03-04	NA	6,746,100	NA

JUVENILE INTENSIVE SUPERVISION PROBATION

Objective: Provides a sentencing alternative to certain juvenile delinquents for an offense other than a violent felony if such youth suffered from an alcohol or drug dependency at the time of the offense.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66611

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 54, NY Laws of 2002.

Regulation: NA

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Eligibility: Counties, City of New York and Local Probation Offices.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements in law and DPCA contractual agreement.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	1,500,000	NA
SFY 03-04	NA	1,275,001	NA
SFY 04-05	NA	1,211,300	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	1,406,500	NA
SFY 03-04	NA	982,000	NA

LOCAL ALTERNATIVES TO INCARCERATION

Objective: Develop or enhance existing programs which serve to assist the court, public officers or others in identifying and avoiding the inappropriate use of incarceration.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66603¹

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 243(2) and Article 13-A

Regulation: NA

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Eligibility: Counties, City of New York

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions listed in Article 13-A of the Executive Law and DPCA contracts.

Action Required to Receive Aid: Non-competitive application for aid required. Local jurisdictions must establish an advisory board which prepares and approves a local alternative to incarceration service plan. This plan must be submitted to the Division for approval, and compliance is prerequisite to reimbursement.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 50% State, 50% Local

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	5,600,000	NA
SFY 03-04	NA	4,760,003	NA
SFY 04-05	NA	4,522,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	5,516,900	NA
SFY 03-04	NA	5,309,700	NA

¹Refers to local alternatives to incarceration and other programs.

LOCAL ALTERNATIVES TO INCARCERATION DRUG & ALCOHOL PROGRAM

Objective: Develop eligible alcohol and substance abuse programs for offenders who have or have had a history of alcohol or substance abuse, and who, having been charged with or convicted of a felony are also at risk of incarceration as a pre-trial detainee, a determinate sentenced offender, an indeterminate sentenced offender, or a probation or parole violator. Such programs shall serve to assist the courts, public officers and others in identifying and avoiding inappropriate incarceration.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66607

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 243(2) and Article 13-A

Regulation: NA

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Eligibility: Counties, City of New York

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in Section 266 with respect to offender eligibility and Division contracts.

Action Required to Receive Aid: Non-competitive application for aid required. Requires local jurisdiction to submit and secure from the Division an approved amendment of their alternative to incarceration service plan.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 100% (Year 1); State 75%, Local 25% (Year 2); State 50%, Local 50% (Thereafter)

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	2,714,000	NA
SFY 03-04	NA	2,492,902	NA
SFY 04-05	NA	2,368,300	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	2,902,400	NA
SFY 03-04	NA	2,446,600	NA

LOCAL PROBATION SERVICES

Objective: Supports the maintenance and improvement of local probation services.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66601

Year Established: 1955

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Articles 12 and 12-A, and specifically Section 246 of such law.

Regulation: NY Division of Probation and Correctional Alternative rules and regulations, 9 NYCRR, Subtitle H and specifically Part 360.

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Eligibility: Counties and City of New York.

Type of Program and Special Restrictions: Mandated, required by State law and regulations. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in Part 360 of the Division's Rules and Regulations.

Action Required to Receive Aid: Non-competitive application for aid required. Requires an approved plan for services, and an approved State aid application; compliance with Division standards regarding administration of probation services is a prerequisite to reimbursement.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Up to 50% State

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	57,689,000	NA
SFY 03-04	NA	49,035,685	NA
SFY 04-05	NA	46,583,900	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	53,843,900	NA
SFY 03-04	NA	52,880,800	NA

PROBATION ELIGIBLE DIVERSION

Objective: Provides incentive funding to implement community-based supervision and treatment programs designed to divert first time non-violent felony offenders from State prison.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66609

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1996, Chapter 54, NY Laws of 2002

Regulation: NA

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Eligibility: Counties, City of New York and Local Probation offices.

Type of Program and Special Restrictions: Optional, without any Federal mandated requirements. Governor's Executive Order prohibits sex offenders from program participation and program must be consistent with DPCA contractual agreement.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing subject to annual application.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	1,412,000	NA
SFY 03-04	NA	1,200,201	NA
SFY 04-05	NA	1,140,200	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	1,074,500	NA
SFY 03-04	NA	1,369,500	NA

SPECIAL ASSISTANCE FOR SUPERVISION AND TREATMENT OF OFFENDERS

Objective: Provides funding to New York City based programs that provide diversion from incarceration.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66610

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 54, NY Laws of 2002

Regulation: NA

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Eligibility: Not-for-Profit Organization through competitive bid.

Type of Program and Special Restrictions: Optional, yet must meet DPCA contractual agreement.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Not-for-Profit.

Type of Aid: Ongoing subject to annual application.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	808,000	NA
SFY 03-04	NA	686,800	NA
SFY 04-05	NA	652,500	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	429,600	NA
SFY 03-04	NA	779,300	NA

